## SEC Form 4

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# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| Check this box if no longer subject to |
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| Section 16. Form 4 or Form 5           |
| obligations may continue. See          |
| Instruction 1(b).                      |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

# OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Mayer Bethany</u>     |               |          | 2. Issuer Name and Ticker or Trading Symbol <u>SEMPRA ENERGY</u> [ SRE ] |   | tionship of Reporting Person(s) to Issuer<br>all applicable)<br>Director 10% Owner |                       |  |  |  |
|--|---------------|----------|--|---|--|-----------------------|--|--|--|
| (Last) (First) (Middle<br>488 8TH AVENUE   |               | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year)<br>05/10/2018           |   | Officer (give title below)   | Other (specify below) |  |  |  |
|  |               |          | 4. If Amendment, Date of Original Filed (Month/Day/Year)                 | 6. Individual or Joint/Group Filing (Check Applicable |  |                       |  |  |  |
| (Street)<br>SAN DIEGO  | IEGO CA 92101 |          |  | Line)   | Form filed by One Reporting Person<br>Form filed by More than One Reporting        |                       |  |  |  |
| (City)   | (State)       | (Zip)    |  |   | Person   |                       |  |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |               |          |  |   |  |                       |  |  |  |

| 1. Title of Security (Instr. 3) | Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |      |   | 4. Securities Acquired (A) or<br>Disposed Of (D) (Instr. 3, 4 and<br>5) |               |       | Securities<br>Beneficially         | (D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--------------------------|---|------|---|---|---------------|-------|------------------------------------|-----------------------------------|---|
|                                 |                          |   | Code | v | Amount  | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4) |                                   | (Instr. 4)  |

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of     |     | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y | ate                | 7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | Derivative<br>Security<br>curity (Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|--------|-----|--|--------------------|---|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)    | (D) | Date<br>Exercisable                            | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Restricted<br>Phantom<br>Shares                     | (1)   | 05/10/2018                                 |   | A                            |   | 845.94 |     | (2)  | (2)                | Common<br>Stock   | 845.94                                 | \$0   | 845.94   | D  |  |

#### Explanation of Responses:

1. Each share of restricted phantom stock is the economic equivalent of one share of Sempra Energy Common Stock.

2. Shares are subject to forfeiture if service as a director terminates for any reason other than death, disability or removal without cause prior to the 2019 Annual Meeting of Sempra Energy Shareholders. Vested shares plus reinvested dividend equivalents are paid to the director in cash following separation of service.

### **Remarks:**

# BETHANY MAYER BY:

James M. Spira. Associate General Counsel of Sempra Energy and Attorney-In-Fact

05/11/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.